

## QFC Activities Summary: 1-October-11 to 30-December-11

Issued by	Description	Consultation Date / Council of Ministers Consent* Date	Enactment Date	Effective/Release Date
<b>REGULATIONS</b>				
QFC Employment Regulations	Amendments of Employment Regulations 2011-QFC Regulations 2011-1	1 November 2011	1 November 2011	
<b>RULES</b>				
QFC Authority	QFCA Rules (Miscellaneous Amendments) Rules 2011	3 October 2011	3 October 2011	
QFC Authority	Limited Liability Partnerships Rules 2011	6 December 2011	6 December 2011	
<b>CONSULTATION PAPERS</b>				
QFC Authority	Security Regulations 2011	6 October 2011		
QFC Authority	Limited Liability Partnerships Rules 2011	6 October 2011	6 December 2011	
QFC Authority	Companies Regulations 2005 - Miscellaneous Amendments 2011	8 December 2011		
QFC Authority	Limited Liability Partnerships Regulations- Miscellaneous Amendments 2011	8 December 2011		
QFC Authority	Companies Rules- Miscellaneous Amendments 2011	8 December 2011		
QFC Authority	Guidance Note relating to imposition of financial penalty for late filing as a result of amendments to Companies and Limited Liability Partnerships Regulations	8 December 2011		
<b>REGISTERED, LICENSED AND AUTHORISED FIRMS</b>				
QFC Regulatory Authority	Bahrain National Insurance Company BSC (C) QFC Branch	QFC Authorised Firm		10 October 2011
QFC Authority	Mayhoola for Investments (QFC)-LLC	QFC Licensed Firm		25 October 2011
QFC Authority	Herbert Smith Middle East LLP	QFC Licensed Firm		24 November 2011
<b>WAIVER, MODIFICATION AND REVOCATION NOTICES</b>				
QFC Regulatory Authority	Waiver N. 0049/11 Mitsui Sumitomo Insurance Company (Europe) Ltd		7 September 2011	10 October 2011
QFC Regulatory Authority	Waiver N. 0050/11 The Bank of Tokyo-Mitsubishi UFJ, Ltd.		5 October 2011	5 October 2011
QFC Regulatory Authority	Waiver N. 0051/11 Morgan Stanley & Co International PLC		9 October 2011	6 November 2011
QFC Regulatory Authority	Waiver N.0052/11 State Street Middle East North Africa LLC		9 October 2011	9 October 2011
QFC Regulatory Authority	Waiver N.0053/11 Union National Bank		9 October 2011	9 October 2011
QFC Regulatory Authority	Waiver N. 0054/11 The Royal Bank of Scotland Plc.		19 October 2011	2 November 2011
QFC Regulatory Authority	Waiver N. 0055/11 UBS AG		19 October 2011	21 October 2011
QFC Regulatory Authority	Waiver N.0056/11 Zurich International Life Limited		19 October 2011	19 October 2011
QFC Regulatory Authority	Waiver N.0057/11 Kane LLC		19 October 2011	19 October 2011
QFC Regulatory Authority	Waiver N.0058/11 EFG-Hermes Qatar LLC		15 November 2011	24 November 2011
QFC Regulatory Authority	Waiver N.0059/11 Goldman Sachs International		28 November 2011	4 December 2011
QFC Regulatory Authority	Waiver N.0060/11 Coutts & Co		1 December 2011	18 December 2011
QFC Regulatory Authority	Waiver N.0061/11 Marsh Brokers Limited		8 December 2011	21 December 2011
QFC Regulatory Authority	Waiver N.0062/11 Marsh Qatar LLC		8 December 2011	21 December 2011

The waiver was issued in September but not published until October 2011.

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QFC Regulatory Authority	Waiver N.0063/11 Sumitomo Mitsui Banking Corporation		12 December 2011	22 December 2011
QFC Authority	Waiver no. CW-1/2011 Qlife & Medical Insurance Company LLC		20 October 2011	31 March 2013
QFC Authority	Waiver no. CW- 2/2011 Credit Agricole Suisse Qatar LLC		6 December 2011	6 December 2011