

## AUTHORISATION

Under article 11 (2) of the Qatar Financial Centre Law (Law No (7) of 2005 of the State of Qatar), the Qatar Financial Centre Regulatory Authority has granted

### **Julius Baer (QFC) LLC** **QFC No 01606**

authorisation under the Financial Services Regulations to carry on the following regulated activities:

- Arranging Deals in Investments
- Advising on Investments
- Arranging the Provision of Custody Services
- Arranging Credit Facilities

in or from the Qatar Financial Centre, subject to the restrictions and conditions set out below and in Schedule 1 (Scope of Authorisation).

#### **Conditions relating to retail customers**

**Julius Baer (QFC) LLC** must not conduct any regulated activities with or for retail customers unless it has been granted an authorisation to do so by the Qatar Financial Centre Regulatory Authority.

Issued at the Qatar Financial Centre, Doha this 18<sup>th</sup> day of August 2022.



EWALD F H MÜLLER  
Managing Director, Supervision and Authorisation

Own Initiative Action Notice  
Effective 17/Dec/2025

## Schedule 1 Scope of Authorisation

The authorisation granted to **Julius Baer (QFC) LLC** to carry on the regulated activities of Advising on Investments, Arranging Deals in Investments, and Arranging the Provision of Custody Services is restricted to the following specified products:

- (a) Shares;
- (b) Debt Instruments;
- (c) Warrants;
- (d) Securities Receipts;
- (e) Units in a Collective Investment Fund;
- (f) Options;
- (g) Futures;
- (h) Contracts for Differences; and
- (i) Rights in Investments.

The authorisation granted to **Julius Baer (QFC) LLC** to carry on the regulated activities of Arranging Credit Facilities is restricted to the following specified products:

- (a) Credit Facilities.