

Qatar

FINANCIAL CENTRE

REGULATORY AUTHORITY

NOTICE OF RULE MODIFICATION

Firm	Sumitomo Mitsui Banking Corporation
Address	Office 1504, 15th Floor, Ministry of Economy and Commerce Building, Diplomatic Area – West Bay, Doha – Qatar
QFC No.	00073
Notice No.	W 0010/08

THE QFC REGULATORY AUTHORITY HEREBY GIVES NOTICE THAT:

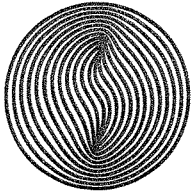
The Rules specified herein have been modified in their application to the above mentioned Person.

This notice is issued by the QFC Regulatory Authority under Article 16 of the QFC Financial Services Regulations 2005.

Effective Date: This notice comes into effect on 8 March 2008 and remains in effect until revoked or withdrawn.

RULES MODIFIED

The Prudential – Investment, Insurance Mediation and Banking Rulebook (PIIB) applies to the above mentioned Person with the modifications made as contained in Schedule 1 to Rules 1.5.3 and A7.1.2.



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INTERPRETATION

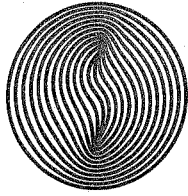
The provisions in this notice are to be construed as if these provisions are provisions of the Rulebooks.

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the Interpretation and Application Rulebook (INAP). Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.

This notice was issued by:

Phillip Thorpe
~~Chairman and Chief Executive Officer~~

8 March 2008



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SCHEDULE 1

For PIIB Rule 1.5.3, substitute the following:

Annual

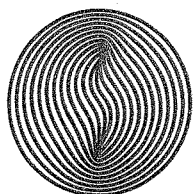
- (1) An *Authorised Firm* must submit to the *Regulatory Authority* any annual returns required by Appendix 7 within five months of the *Authorised Firm's* financial year end.

Biannual

- (2) An *Authorised Firm* must submit to the *Regulatory Authority* bi-annual returns required by Appendix 7, within three month of the end of the standard biannual period.
- (3) In (2), the standard bi-annual period is the six month period ending on 30 September and 31 March of each year.

Quarterly

- (4) An *Authorised Firm* must submit to the *Regulatory Authority* quarterly returns required by Appendix 7 within two month of the end of each standard quarter.
- (5) In (4), the standard quarter is each of the three month periods ending on 31 March, 30 June, 30 September and 31 December of each year.



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For PIIB Rule A7.1.2, substitute the following:

FORM	NAME		PIIB CATEGORY 1	PIIB CATEGORY 2	PIIB CATEGORY 3	PIIB CATEGORY 4	PIIB CATEGORY 5
			Frequency	Frequency	Frequency	Frequency	Frequency
Q101	Balance Sheet	Solo	A/Q	A/Q	A/Q	A/Q	N/A
		Consolidated	B	B	B	B	N/A
Q102	Islamic Authorised Firm's Balance Sheet	Solo	N/A	N/A	N/A	N/A	A/Q
		Consolidated	N/A	N/A	N/A	N/A	B
Q103	Profit and Loss	Solo	A/Q	A/Q	A/Q	A/Q	N/A
		Consolidated	B	B	B	B	N/A
Q104	Islamic Authorised Firm's Profit and Loss	Solo	N/A	N/A	N/A	N/A	A/Q
		Consolidated	N/A	N/A	N/A	N/A	B
Q105	<i>Expenditure based capital minimum</i>	Solo	N/A	A/Q	A/Q	A/Q	N/A
Q106	Capital Adequacy Calculation	Solo	A/Q	A/Q	A/Q	A/Q	A/Q
		Consolidated	B	B	B	B	B
Q107	Large Exposures Schedule	Solo	A/Q	A/Q	A/Q	N/A	A/Q
		Consolidated	B	B	B	N/A	B
Q108	Liquidity Schedule	Solo	Q	N/A	N/A	N/A	Q
Q109	Branch Return Only 9.6 – 9.10 (inclusive)	Solo	Q	Q	Q	Q	Q
Q110	Declaration by Authorised Firm	All	All	All	All	All	All