



WAIVER NOTICE

Firm Guardian Wealth Management Qatar LLC
Address Qatar Financial Centre, The Business Centre, 1st floor QFC Tower, West Bay, Doha
QFC No. 00113
Notice No. W 0037/09

Financial Services Regulations, article 16 (1) (B)

1 Waiver

The following provision of the *Individuals Rulebook (INDI)* does not apply in relation to the firm:

- rule 2.2.2.

2 Commencement and expiry

This notice commences on the date it is signed and, unless revoked earlier, expires 4 months after that date.

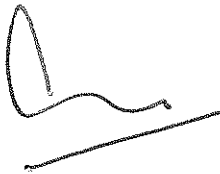
3 Conditions

This notice is given subject to the following conditions:

- the *approved individual exercising the money laundering reporting function* for the firm spend an appropriate amount of time (having regard to the responsibilities of the *function*) *exercising the function*;
- the *approved individual exercising the money laundering reporting function* for the firm must be ordinarily resident in Qatar not later than 3 months after the day the firm becomes operational.

4 Interpretation

The *Interpretation and Application Rulebook (INAP)* applies to this notice as if its provisions were provisions of a rulebook made under the *Financial Services Regulations*.



Phillip Thorpe
Chairman and Chief Executive Officer

Date: 20 October 2019