

qatar

FINANCIAL CENTRE
REGULATORY AUTHORITY

WAIVER NOTICE

Firm Rothschild (Qatar) LLC
Address Qatar Financial Centre, The Business Centre, 1st Floor QFC
Tower, P.O. Box 23245, West Bay, Doha, Qatar
QFC No. 00116
Notice No. W 0039/09

Financial Services Regulations, article 16 (1) (B)

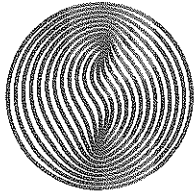
1 Waiver

The following provision of the *Individuals Rulebook (INDI)* does not apply in relation to the firm:

- rule 2.2.2.

2 Commencement and expiry

This notice commences on the date it is signed and, unless revoked earlier, expires on 31 May 2010.



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3 Condition

This notice is given subject to the condition that the *approved individual* exercising the *money laundering reporting function* for the firm spends an appropriate amount of time (having regard to the responsibilities of the *function*) in Qatar *exercising* the function.

4 Interpretation

The *Interpretation and Application Rulebook (INAP)* applies to this notice as if its provisions were provisions of a rulebook made under the *Financial Services Regulations*.

~~Phillip Thorpe~~
Chairman and Chief Executive Officer
Date: 18th November 2009