



WAIVER NOTICE

Firm	Guardian Wealth Management Qatar LLC
Address	Qatar Financial Centre Tower 1, Office 503, 5th Floor, Diplomatic Area, West Bay, Doha, Qatar
QFC No.	00113
Notice No.	W 0008/10

Financial Services Regulations, article 16 (1) (B)

1 Waiver

The following provision of the *Anti-Money Laundering and Combating Terrorist Financing Rules 2010 (AML/CFT Rules)* does not apply in relation to the firm:

- Rule(s) 2.3.2 (2)

2 Commencement

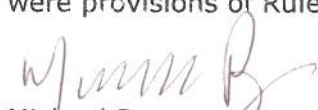
This notice commences on the day it is signed and, unless revoked earlier, expires 6 months after the day it is signed.

3 Conditions

This notice is given subject to the condition that the *approved individual* exercising the money laundering reporting function for the firm spends an appropriate amount of time (having regard to the responsibilities of the function) in Qatar exercising the function.

4 Interpretation

The *Interpretation and Application Rulebook (INAP)* applies to this notice as if its provisions were provisions of Rules made by the Regulatory Authority.


Michael Ryan
Deputy Chief Executive Officer
Date: 20 June 2010