# SCOPE OF AUTHORISATION

#### WITHDRAWN

As provided in the Authorisation Certificate, pursuant to its powers under Article 11(2) of the Qatar Financial Centre Law and Article 29 of the Financial Services Regulations, the Qatar Financial Centre Regulatory Authority has granted **TAIB Bank Qatar LLC** authority, subject to the conditions and restrictions stated below, to conduct the following Regulated Activities in respect of the listed Specified Products:

Regulated Activity	Specified Products
• Dealing in Investments	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Unit in a Collective Investment Fund</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	• The firm is prohibited from Dealing in Investments as a principal unless it has received the prior authorisation of the Regulatory Authority
• Arranging Deals in Investments	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Unit in a Collective Investment Fund</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	• None
• Arranging Credit Facilities	• Credit Facility
Specific Conditions and Restrictions	• None

• Managing Investments	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Unit in a Collective Investment Fund</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	• None
• Advising on Investments	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Unit in a Collective Investment Fund</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	• None

## GENERAL CONDITIONS, RESTRICTIONS AND REQUIREMENTS

The firm is prohibited from conducting Regulated Activities with or on behalf of Retail Customers unless it has received the prior authorisation of the Regulatory Authority.

The firm is prohibited from holding Client Money unless it has received the prior authorisation of the Regulatory Authority.

### EFFECTIVE DATE

16<sup>th</sup> May 2007

#### QFC NUMBER

00049

**DATE WITHDRAWN** 

28th March 2010

Chairman and Chief Executive Officer