## **SCOPE OF AUTHORISATION**

As provided in the Authorisation Certificate, pursuant to its powers under Article 11(2) of the Qatar Financial Centre Law and Article 29 of the Financial Services Regulations, the Qatar Financial Centre Regulatory Authority has granted Credit Suisse Financial Services (Qatar) LLC authority, subject to the conditions and restrictions stated below, to conduct the following Regulated Activities in respect of the listed Specified Products:

Regulated Activity	Specified Products
Arranging Deals in     Investments	<ul> <li>Shares</li> <li>Debt Instruments</li> <li>Warrants</li> <li>Securities Receipts</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Futures</li> <li>Contracts for Differences</li> <li>Rights in Investments</li> </ul>
Conditions and Restrictions	• None
Arranging Credit Facilities	• Credit Facilities
Conditions and Restrictions	• None
• Arranging the Provision of Custody Services	<ul> <li>Shares</li> <li>Debt Instruments</li> <li>Warrants</li> <li>Securities receipts</li> <li>Units in a Collective of Collective O3/Sep/2025</li> <li>Futures</li> <li>Contracts for Differences</li> <li>Rights in Investments</li> </ul>
Conditions and Restrictions	• None

Advising on Investments	<ul> <li>Shares</li> <li>Debt Instruments</li> <li>Warrants</li> <li>Securities Receipts</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Futures</li> <li>Contracts for Differences</li> <li>Rights in Investments</li> </ul>
Conditions and Restrictions	• None

## GENERAL CONDITIONS, RESTRICTIONS AND REQUIREMENTS

The firm is prohibited from conducting Regulated Activities with or on behalf of Retail Customers unless it has received the prior authorisation of the Regulatory Authority.

## **EFFECTIVE DATE**

1<sup>st</sup> March 2006

**QFC NUMBER** 

00005

Chief Executive