



## WAIVER NOTICE

**Firm** UBS AG  
**Address** Qatar Financial Centre, Office 1402 & 1403 , 14th Floor, Servcorp Business Centre, Commercial Bank Plaza, West Bay, Doha, Qatar  
**QFC No.** 00087  
**Notice No.** W 0015/12

*Financial Services Regulations, article 16 (1) (B)*

### 1 Waiver

The following provision of *Anti-Money Laundering and Combating Terrorist Financing Rules 2010* does not apply in relation to the firm:

- Rule 2.3.2.(2)

### 2 Commencement

This notice commences on 22 April 2012 and, unless revoked earlier, expires on 21 April 2013.

### 3 Conditions

This notice is given subject to the following conditions:

- a) The firm's money laundering reporting officer spends an appropriate amount of time (having regard to the responsibilities of the role) in Qatar exercising the role; and
- b) The firm's money laundering reporting officer submits a non-resident MLRO report on a quarterly basis.

Michael G Ryan  
Chief Executive Officer  
Date: 19 April 2012