



WAIVER NOTICE

Firm Nomura International plc, Qatar Financial Centre Branch
Address Qatar Financial Centre, Office 804, 8th floor, QFC Tower, Diplomatic Area,
West Bay, PO Box 23245, Doha, Qatar
QFC No. 00106
Notice No. W 0028/12

Financial Services Regulations, Article 16 (1) (b)

1 Waiver

The following provision of *Anti-Money Laundering and Combating Terrorist Financing Rules 2010* does not apply in relation to the firm:

- Rule 2.3.2.(2)

2 Commencement

This notice commences on 5 August 2012 and unless revoked earlier, expires on 4 August 2014.

3 Conditions

- A. The firm's Money Laundering Reporting Officer spends an appropriate amount of time (having regard to the responsibilities of the role) in Qatar exercising the role.
- B. The firm's Money Laundering Reporting Officer submits a non-resident MLRO report on a bi-annual basis.

A handwritten signature in black ink, appearing to read 'MGR'.

Michael G Ryan
Chief Executive Officer
Date: 5 August 2012