



هيئة تنظيم
مركز قطر للمال

QATAR FINANCIAL CENTRE
REGULATORY AUTHORITY

WAIVER NOTICE

Firm	Aventicum Capital Management (Qatar) LLC
Address	Level 32, Tornado Tower, West Bay P.O. Box 24759, Doha, Qatar
QFC No.	00173
Notice No.	W 0004/20

Financial Services Regulations, Article 16 (1) (b)

1 Waiver

The following provision of *Customer and Investor Protection Rules 2019 (CIPR)* does not apply in relation to the firm:

- Rule 4.2.9 (1)(b)(i)
- Rule 4.2.9 (2)
- Rule 4.2.10 (2)
- Rule 4.2.11 (4)

2 Commencement

This notice commences when it is made.

3 Conditions

The Regulatory Authority to grant Aventicum Capital Management Qatar LLC waiver of:

- CIPR 4.2.9 (1) (b) (i), with the condition that past performance information in advertisements is based on no less than complete 12-month periods.
- CIPR 4.2.9 (2), 4.2.10 (2) and 4.2.11 (4), with the condition that the warning language used needs to preserve the meaning/substance set out in CIPR 4.2.9 (2), 4.2.10 (2) & 4.2.11 (4), similar to the 5 samples provided to the QFCRA. The firm will ensure that all disclaimers set out that substance and meaning.

Michael G Ryan
Chief Executive Officer
Date: 10 August 2020