

## SCOPE OF AUTHORISATION

## **WITHDRAWN**

This scope of approval replaces the scope aated the 13th December 2007

As provided in the Authorisation Certificate, pursuant to its powers under Article 11(2) of the Qatar Financial Centre Law and Article 29 of the Financial Services Regulations, the Qatar Financial Centre Regulatory Authority has granted **EFG-Hermes Qatar LLC** authority, subject to the conditions and restrictions stated below, to conduct the following Regulated Activities in respect of the listed Specified Products:

Regulated Activity	Specified Products
Arranging Deals in Investments	<ul> <li>Shares</li> <li>Debt Instruments</li> <li>Warrants</li> <li>Securities Receipts</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Futures</li> <li>Contracts for Difference</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	• None
Advising on Investments	<ul> <li>Shares</li> <li>Debt Instruments</li> <li>Warrants</li> <li>Securities Receipts</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Futures</li> <li>Contracts for Difference</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	<ul><li>None</li></ul>



## GENERAL CONDITIONS, RESTRICTIONS AND REQUIREMENTS

The firm is prohibited from conducting Regulated Activities with or on behalf of Retail Customers unless it has received the prior authorisation of the Regulatory Authority.

**EFFECTIVE DATE** 

**DATE WITHDRAWN** 

3ra June 2013

10<sup>th</sup> May 2015

**QFC NUMBER** 

00069

Chief Executive Officer