



هيئة تنظيم
مركز قطر للمال
QATAR FINANCIAL CENTRE
REGULATORY AUTHORITY

WAIVER NOTICE

Firm	UBS Qatar LLC
Address	Office No. 5 and 6, 14th Floor , Building No. 157, Street 301, Area 69,, Burj Alfardan Tower, Al Majdami, Lusail, Doha, Qatar, Qatar, 26660
QFC No.	01169
Notice No.	W 0001/23

Financial Services Regulations,; article 16 (1) (B)

1 Waiver

The following provisions of *Customer and Investor Protection Rules 2019 (CIPR)* do not apply in relation to the firm:

- Rule 4.2.2
- Rule(s) 4.2.4.(2).(d) through 4.2.4.(2).(d).(ii)
- Rule 4.2.9.(1).(b).(i)

2 Commencement

This notice commences on 16 October 2023 and remains in effect until revoked.

3 Conditions

CIPR 4.2.9 (1) (b) (i): past performance information in advertisements is based on no less than complete 12-month periods.

Michael G Ryan

Chief Executive Officer

Date: 16th October, 2023.