

QFC Activities Summary: 1-July-08 to 30-Sept-08

Issued by	Description	Consultation Date / Council of Ministers Consent* Date	Enactment Date	Effective/Release Date
REGULATIONS				
RULES				
QFC Regulatory Authority	Anti Money Laundering Rulebook (AMLR) - (Version VER3-Oct08)		21-Sep-08	
QFC Regulatory Authority	Assets Rulebook (ASET) - (Version VER5-Oct08)		21-Sep-08	
QFC Regulatory Authority	Conduct of Business Rulebook (COND) - (Version VER3-Oct08)		21-Sep-08	
QFC Regulatory Authority	General Rulebook (GENE) - (Version VER6-Oct08)		21-Sep-08	
QFC Regulatory Authority	Interim Prudential-Investment, Insurance Mediation and Banking Business Rulebook (PIIB) - (Version VER4-Oct08)		21-Sep-08	
QFC Regulatory Authority	Interpretation and Application Rulebook (INAP) - (Version VER6-Oct08)		21-Sep-08	
QFC Regulatory Authority	Prudential-Insurance Rulebook (PINS) - (Version VER4-Oct08)		21-Sep-08	
CONSULTATION PAPERS				
QFC Regulatory Authority	CP Number 2008/02 Miscellaneous Amendments to the Rules of the Qatar Financial Centre Regulatory Authority	30-Jul-08		
GUIDES				
NOTICES				
QFC Authority	QFCA Compliance Notice No. 10: Notice to all QFC Employers regarding bi-annual information on name, age, nationality and job title for all QFC Employees.			2-Jul-08

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REGISTERED, LICENSED AND AUTHORISED FIRMS				
QFC Regulatory Authority	Aon Qatar LLC		22-Jul-08	
QFC Regulatory Authority	UBS AG		23-Jul-08	
QFC Regulatory Authority	State Street Middle East North Africa LLC		29-Jul-08	
QFC Regulatory Authority	Religare Hichens, Harrison PLC		31-Aug-08	
QFC Regulatory Authority	Qatar First Investment Bank LLC		4-Sep-08	
QFC Regulatory Authority	HSBC Insurance Brokers Ltd		14-Sep-08	
QFC Regulatory Authority	QNB Capital LLC		28-Sep-08	
WAIVER OR MODIFICATION NOTICES				
QFC Regulatory Authority	Waiver W 0020/08 Marsh Qatar LLC		9-Jul-08	
QFC Regulatory Authority	Waiver W 0021/08 EFG-Hermes Qatar LLC		9-Jul-08	
QFC Regulatory Authority	Waiver W 0022/08 Aon Qatar LLC		22-Jul-08	
QFC Regulatory Authority	Waiver W 0023/08 UBS AG		23-Jul-08	
QFC Regulatory Authority	Waiver W 0024/08 UBS AG		23-Jul-08	
QFC Regulatory Authority	Waiver W 0025/08 Samba Financial Group		21-Aug-08	
QFC Regulatory Authority	Waiver W 0026/08 Religare Hichens, Harrison PLC		1-Sep-08	
QFC Regulatory Authority	Waiver W 0027/08 Religare Hichens, Harrison PLC		1-Sep-08	
QFC Regulatory Authority	Waiver W 0028/08 TAIB Bank Qatar LLC		7-Sep-08	
QFC Regulatory Authority	Waiver W 0029/08 Zurich International Life Limited		8-Sep-08	
QFC Regulatory Authority	Waiver W 0030/08 State Street Middle East North Africa LLC		29-Sep-08	

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QFC Regulatory Authority	Waiver W 0031/08 State Street Middle East North Africa LLC		29-Sep-08	