



## **Guardian Wealth Management LLC Enforcement Action on a Late Submission**

**Doha, Qatar, 11 of April 2011:**

The Qatar Financial Centre Regulatory Authority has fined Guardian Wealth Management LLC for the late submission of its quarterly prudential return, which was due on 30 April 2010 but was filed 27 May 2010, as follows:

A financial penalty of US\$1,800 under Article 59(1) of the Financial Services Regulations for a breach of Rule 1.5.5 of the Interim Prudential-Investment, Mediation and Banking Business Rulebook