

## QFC Activities Summary: 1-Oct-09 to 31-Dec-09

Issued by	Description	Consultation Date / Council of Ministers Consent* Date	Enactment Date	Effective/Release Date
<b>REGULATIONS</b>				
<b>RULES</b>				
QFC Regulatory Authority	Interim Prudential—Investment, Insurance, Mediation and Banking Amendment Rules 2009		6-Dec-09	6-Dec-09
QFC Regulatory Authority	Miscellaneous Amendments Rules 2009		6-Dec-09	6-Dec-09
<b>CONSULTATION PAPERS</b>				
QFC Regulatory Authority	A Regulatory Regime for Training and Competence (T&C) for QFC Authorised Firms	1-Jan-10		
QFC Regulatory Authority	QFCRA CP No. 2009/04 – Miscellaneous Amendments to the Rules of the Qatar Financial Centre Regulatory Authority	21-Jan-10		
QFC Regulatory Authority	QFCRA CP No. 2009/05 – Draft Anti-Money Laundering And Combating Terrorist Financing Rulebook 2010	17-Jan-10		
<b>GUIDES</b>				
QFC Regulatory Authority	A Guide for Insurance Companies			4-Nov-09

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<b>NOTICES</b>				
QFC Regulatory Authority	Licensed Professional Firms Annual AML Questionnaire Notice 2009			6-Oct-09
<b>REGISTERED, LICENSED AND AUTHORISED FIRMS</b>				
QFC Regulatory Authority	Guardian Wealth Management Qatar LLC		20-Oct-09	
QFC Regulatory Authority	SEIB Insurance and Reinsurance Company LLC		21-Oct-09	
QFC Regulatory Authority	Chedid & Associates Qatar LLC		21-Oct-09	
QFC Regulatory Authority	Rothschild (Qatar) LLC		18-Nov-09	
QFC Regulatory Authority	Q-Re LLC		6-Dec-09	
<b>WAIVER OR MODIFICATION NOTICES</b>				
QFC Regulatory Authority	Waiver W 0033/09	Nexus Financial Services WLL	6-Oct-09	6-Oct-09
QFC Regulatory Authority	Waiver W 0034/09	Nexus Financial Services WLL	6-Oct-09	6-Oct-09
QFC Regulatory Authority	Waiver W 0035/09	TAIB Bank Qatar LLC	18-Oct-09	18-Oct-09
QFC Regulatory Authority	Waiver W 0036/09	TAIB Bank Qatar LLC	18-Oct-09	18-Oct-09
QFC Regulatory Authority	Waiver W 0037/09	Guardian Wealth Management Qatar LLC	20-Oct-09	20-Oct-09
QFC Regulatory Authority	Waiver W 0038/09	Guardian Wealth Management Qatar LLC	20-Oct-09	20-Oct-09
QFC Regulatory Authority	Waiver W 0039/09	Rothschild (Qatar) LLC	18-Nov-09	18-Nov-09
QFC Regulatory Authority	Waiver W 0040/09	Samba Financial Group	22-Nov-09	01-Dec-09
QFC Regulatory Authority	Waiver W 0042/09	Marsh Qatar LLC	03-Dec-09	03-Dec-09