

QFC Activities Summary: 1-Oct-10 to 31-December-10

Issued by	Description	Consultation Date / Council of Ministers Consent* Date	Enactment Date	Effective/Release Date
REGULATIONS				
RULES				
QFC Regulatory Authority	Collective Investment Schemes Rules 2010			1-Jan-11
QFC Regulatory Authority	Private Placement Schemes Rules 2010			1-Jan-11
CONSULTATION PAPERS				
QFC Regulatory Authority	CP Number 2010/07 Conduct of Business Rulebook- Product Disclosure Document Rules	12-Dec-10		
REGISTERED, LICENSED AND AUTHORISED FIRMS				
QFC Authority	Thomson Reuters (Markets) Middle East Limited, Qatar Financial Centre Branch		QFC Licensed firms	2-Nov-10
QFC Authority	Qatar Finance and Business Academy LLC		QFC Licensed firms	4-Nov-10
QFC Authority	Michael Page International (UAE) Limited, QFC Branch		QFC Licensed firms	28-Nov-10
QFC Authority	QInvest Capital LP		QFC Licensed firms	23-Dec-10
WAIVER, MODIFICATION AND REVOCATION NOTICES				

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QFC Regulatory Authority	Waiver 0020/10 Union National Bank		6-Oct-10	6-Oct-10
QFC Regulatory Authority	Waiver 0021/10 Pacific Star Doha LLC		10-Oct-10	10-Oct-10
QFC Regulatory Authority	Waiver 0022/10 UBS AG		19-Oct-10	19-Oct-10
QFC Regulatory Authority	Waiver 0023/10 Royal Bank of Scotland plc		31-Oct-10	31-Oct-10
QFC Regulatory Authority	Waiver 0024/10 Morgan Stanley & Co International PLC		4-Nov-10	4-Nov-10
QFC Regulatory Authority	Waiver 0025/10 EFG-Hermes Qatar LLC		22-Nov-10	22-Nov-10
QFC Regulatory Authority	Waiver 0026/10 Goldman Sachs International		2-Dec-10	2-Dec-10
QFC Regulatory Authority	Waiver 0027/10 Marsh Brokers Limited		12-Dec-10	12-Dec-10
QFC Regulatory Authority	Waiver 0028/10 Rothschild (Qatar) LLC		2-Dec-10	2-Dec-10
QFC Regulatory Authority	Waiver 0029/10 Coutts & Co		16-Dec-10	16-Dec-10
QFC Regulatory Authority	Waiver 0030/10 Sumitomo Mitsui Banking Corporation		20-Dec-10	20-Dec-10