## QFC Activities - Quarterly Summary: 1 October 2012 to 31 December 2012

| Issued by                | Description  | Consultation Date / Council of Ministers Consent Date | Enactment Date   | Promulgation / Effective Date |  |  |  |
|--------------------------|--|---|------------------|-------------------------------|--|--|--|
| REGULATIONS              |  |   |                  |                               |  |  |  |
|                          |  |   |                  |                               |  |  |  |
| RULES                    |  |   |                  |                               |  |  |  |
| QFC Regulatory Authority | Governance and Controlled Functions Rules 2012   |   | 19 December 2012 | 1 July 2013                   |  |  |  |
| QFC Regulatory Authority | Governance and Controlled Functions<br>(Consequential and Miscellaneous) Amendments<br>Rules 2012  |   | 19 December 2012 | 1 July 2013                   |  |  |  |
| QFC Regulatory Authority | Anti-Money Laundering and Combating<br>Terrorist Financing (General Insurance)<br>Consequential and Miscellaneous Amendments<br>Rules 2012 |   | 19 December 2012 | 1 February 2013               |  |  |  |
| QFC Regulatory Authority | Anti-Money Laundering and Combating Terrorist<br>Financing (General Insurance) Rules 2012  |   | 19 December 2012 | 1 February 2013               |  |  |  |
| QFC Regulatory Authority | Islamic Finance Amendments Rules 2012  |   | 19 December 2012 | 1 February 2013               |  |  |  |
| CONSULTATION PAPERS      |  |   |                  |                               |  |  |  |
| QFC Regulatory Authority | Consultation Paper 2012/03: Proposed<br>Amendments – Islamic Finance Windows in the<br>QFC   | 10 October 2012                                       |                  |                               |  |  |  |

| Issued by                                   | Description   | Consultation Date / Council of Ministers Consent Date | Enactment Date   | Promulgation / Effective Date |  |  |  |
|---|---|---|------------------|-------------------------------|--|--|--|
| REGISTERED, LICENSED AND AUTHORISED FIRMS   |   |   |                  |                               |  |  |  |
|   |   |   |                  |                               |  |  |  |
| WAIVER, MODIFICATION AND REVOCATION NOTICES |   |   |                  |                               |  |  |  |
| QFC Regulatory Authority                    | Waiver N.0037/12 Zurich Insurance Company<br>Ltd. QFC Branch              |   | 8 October 2012   | 8 October 2012                |  |  |  |
| QFC Regulatory Authority                    | Waiver N.0038/12 State Street Middle East<br>North Africa LLC             |   | 22 October 2012  | 22 October 2012               |  |  |  |
| QFC Regulatory Authority                    | Waiver N.0039/12 Tazur Company b.s.c. (c) — QFC Branch                    |   | 22 October 2012  | 22 October 2012               |  |  |  |
| QFC Regulatory Authority                    | Waiver N.0040/12 Bahrain National Insurance<br>Company BSC (C) QFC Branch |   | 22 October 2012  | 22 October 2012               |  |  |  |
| QFC Regulatory Authority                    | Waiver N.0041/12 Aon Qatar LLC  |   | 24 October 2012  | 24 October 2012               |  |  |  |
| QFC Regulatory Authority                    | Waiver N.0042/12 MedGulf Allianz Takaful — QFC Branch                     |   | 24 October 2012  | 24 October 2012               |  |  |  |
| QFC Regulatory Authority                    | Waiver N.0043/12 Deutsche Bank AG Doha (QFC) Branch                       |   | 18 November 2012 | 18 November 2012              |  |  |  |
| QFC Regulatory Authority                    | Waiver N.0044/12 The Royal Bank of Scotland plc                           |   | 18 November 2012 | 18 November 2012              |  |  |  |
| QFC Regulatory<br>Authority/ QFC Authority  | Waiver N. 0046/12 WongPartnership LLP                                     |   | 20 December 2012 | 20 December 2012              |  |  |  |