

QFC Activities – Quarterly Summary 1 July- 30 September 2014

Issued by	Description	Consultation Date	Enactment Date	Effective/Release Date
REGULATIONS				
RULES				
CONSULTATION PAPERS				
QFC Regulatory Authority	2014/01 Proposed Banking Business Prudential Rules 2014	18 September 2014		
QFC Regulatory Authority	2014/02 Proposed Investment Management and Advisory Rules 2014	18 September 2014		
QFC Regulatory Authority	2014/03 Approved Individuals Regime and Miscellaneous Amendments	18 September 2014		
REGISTERED, LICENSED AND AUTHORISED FIRMS				
WAIVER, MODIFICATION AND REVOCATION NOTICES				