

**QFC Activities – Quarterly Summary 1 October- 31 December 2014**

<b>Issued by</b>	<b>Description</b>	<b>Consultation Date</b>	<b>Enactment Date</b>	<b>Effective/Release Date</b>
<b>REGULATIONS</b>				
<b>RULES</b>				
QFC Regulatory Authority	<b>Banking Business Prudential Rules 2014</b>		17 December 2014	1 January 2015
QFC Regulatory Authority	<b>Investment Management and Advisory Rules 2014</b>		7 December 2014	1 January 2015
QFC Regulatory Authority	<b>Individuals (Assessment, Training and Competency) Rules 2014.</b>		7 December 2014	1 January 2015
<b>CONSULTATION PAPERS</b>				
<b>REGISTERED, LICENSED AND AUTHORISED FIRMS</b>				
<b>WAIVER, MODIFICATION AND REVOCATION NOTICES</b>				
QFC Regulatory Authority	Bank Audi LLC		9 December 2014	9 December 2014