

QFC Activities – Quarterly Summary 1 January – 31 March 2020

Issued by	Description	Consultation Date	Enactment Date	Effective/ Release Date
REGULATIONS				
QFC Regulatory Authority	-	-	-	-
RULES				
QFC Regulatory Authority	Customer and Investor Protection Rules 2019	-	26 March 2019	1 January 2020
QFC Regulatory Authority	Customer Dispute Resolution Scheme Rules 2019	-	26 March 2019	1 January 2020
QFC Regulatory Authority	COND Repeal and Miscellaneous Amendments Rules 2019	-	26 March 2019	1 January 2020
QFC Regulatory Authority	Banking Business Prudential (Leverage Ratio) Amendments Rules 2019	-	26 June 2019	1 January 2020
QFC Regulatory Authority	Islamic Banking Business Prudential (Leverage Ratio) Amendments Rules 2019	-	26 June 2019	1 January 2020
QFC Regulatory Authority	Anti-Money Laundering and Combating the Financing of Terrorism Rules 2019	-	29 December 2019	1 February 2020
QFC Regulatory Authority	Anti-Money Laundering and Combating the Financing of Terrorism (General Insurance) Rules 2019	-	29 December 2019	1 February 2020

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CONSULTATION PAPERS				
-	-	-	-	-
REGISTERED, LICENSED AND AUTHORISED FIRMS				
QFC Regulatory Authority	Al Awael Captive Insurance Co. LLC (Authorised - Not yet commenced regulated activities)	-	-	28 January 2020
WAIVER, MODIFICATION AND REVOCATION NOTICES				
QFC Regulatory Authority	UBS AG (Waiver: 0001/20)	-	-	5 February 2020