

**QFC Activities – Quarterly Summary 1 July – 30 September 2019**

<b>Issued by</b>	<b>Description</b>	<b>Consultation Date</b>	<b>Enactment Date</b>	<b>Effective/ Release Date</b>
<b>REGULATIONS</b>				
QFC Regulatory Authority	-	-	-	-
<b>RULES</b>				
QFC Regulatory Authority	Collective Investments Amendments Rules 2019	-	26 June 2019	1 July 2019
<b>CONSULTATION PAPERS</b>				
QFC Regulatory Authority	Proposed Governance and Controlled Functions Rules 2019 (QFCRA CP No. 2019/01 )	30 September 2019 - 12 January 2020	-	-
<b>REGISTERED, LICENSED AND AUTHORISED FIRMS</b>				
QFC Regulatory Authority	COUR Bank LLC (Authorised - Not yet commenced regulated activities)	-	16 July 2019	-
QFC Regulatory Authority	Sanguine Investment Managers LLC (Authorised - Not yet commenced regulated activities)	-	26 September 2019	-
<b>WAIVER, MODIFICATION AND REVOCATION NOTICES</b>				
QFC Regulatory Authority	Sanguine Investment Managers LLC- (Waiver: 0002/19)	-	-	26 September 2019