

QFC Activities – Quarterly Summary 1 October – 31 December 2019

Issued by	Description	Consultation Date	Enactment Date	Effective/ Release Date
REGULATIONS				
-	-	-	-	-
RULES				
QFC Regulatory Authority	Anti-Money Laundering and Combating the Financing of Terrorism Rules 2019	-	29 December 2019	1 February 2020
QFC Regulatory Authority	Anti-Money Laundering and Combating the Financing of Terrorism (General Insurance) Rules 2019	-	29 December 2019	1 February 2020
CONSULTATION PAPERS				
QFC Regulatory Authority	Proposed Governance and Controlled Functions Rules 2019 (QFCRA CP No. 2019/01)	30 September 2019 – 12 January 2020	-	-
QFC Regulatory Authority	Proposed Anti-Money Laundering and Combating the Financing of Terrorism Rules 2019 and Anti-Money Laundering and Combating the Financing of Terrorism (General Insurance) Rules 2019 (QFCRA CP No. 2019/02)	11 December 2019 – 19 December 2019	-	-
QFC Regulatory Authority	Proposed Amendments to the Operational Risk Prudential Framework — Conventional and Islamic Banking Business (QFCRA CP No. 2019/03)	16 December 2019 – 26 January 2020	-	-
REGISTERED, LICENSED AND AUTHORISED FIRMS				
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WAIVER, MODIFICATION AND REVOCATION NOTICES				
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